RISK AREA	CAUSE	RISKS	EFFECT	*RISK SCORE	MANAGEABLE Y/N?	PREVENTATIVE CONTROLS	RECOVERY CONTROLS	PERSON RESPONSIBLE/ DIRECTION OF TRAVEL
Provide and maintain standards of flood protection	Change in Government funding policy	Reduction in finance or grants or other income.	Insufficient staffing or other resources to deliver the service needs or capital schemes.	6 (2x3)	N	-	Increase rateable income Look for more efficiencies	CEO
	Local Council Rate capping continues, or the cap level reduces	Inability to set a rate to ensure services are delivered	Insufficient staffing or other resources to deliver the service needs.	4 (2x2)	Υ	Reviewed and approved by Board each February. Financial report to each Board meeting.	-	CEO/CEng
		Inability to set a rate to ensure services are delivered	Unable to fully respond to a major incident due to lack of resources	4 (2x2)	Υ	Ensure IDBs role is in Lincs emergency plan. Other IDBs can respond Reciprocal arrangements between IDBs to provide support	Resources are backed up by volunteers and equipment Review own plan annually Adequate generator provision is available through Western Power Networks arrangement.	CEng

^{*}Likelihood (1-3) x Impact (1-3) = Risk Score

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RISK AREA	CAUSE	RISKS	EFFECT				PREVENTATIVE	RECOVERY	PERSON
				SCORE	Y/N?		CONTROLS	CONTROLS	RESPONSIBLE/
									DIRECTION
									OF TRAVEL
2	Employees	An employee is	Potential legal	3	Υ	•	Outsourced H&S Advice	Employer's and Public	Board
	contravene H&S	injured or killed	proceedings up			•	Introduction of	Liability Insurances in place	
Provide a safe	policies and		to corporate	(1x3)			employee focused, real		CEO/CEng
working	method		manslaughter				time management using		
environment for	statements		charges				tablets etc in 2021		٨
staff and the						•	Active H&S Committee		\square
public						•	Monitored staff training		V
						•	Standing agenda item		
							for Board meetings		
						•	Annual review of policy		
							and Risk Register		
							· ·		
		Private property	Potential legal	3	Υ		As above	Employer's and Public	Board
		is damaged, or	proceedings up					Liability Insurances in place	
		members of the	to corporate	(1x3)				, ,	CEO/CEng
		public are injured	manslaughter	` ′					, 0
		or killed	charges						
			J						
									<u>/</u>
		The Board's	Financial loss due	2	Υ		As above	Accidental Damage	Board
		property is	to repair costs					Insurance in place	
		damaged		(1x2)				·	CEO/CEng
								The sums assured are	
								reviewed each year to	.
								ensure they remain	\Box
								adequate	<u> </u>

	CAUSE	DICKC	FFFFCT	*DIC!	MANAGEABLE	DDEV/FAITATIVE	DECOVERY	DEDCOM
RISK AREA	CAUSE	RISKS	EFFECT			PREVENTATIVE	RECOVERY	PERSON PERSON
				SCORE	Y/N?	CONTROLS	CONTROLS	RESPONSIBLE/
								DIRECTION
								OF TRAVEL
3	An accounting	Adverse audit	Reputational	4	Υ	Employ a qualified	Directors' and Officers'	Board
	error or	report in the	damage	(2x2)		accountant (s.151 officer)	insurance in place	
Maintain	governance issue	public domain						
financial records	transpires due to		possible legal			External and internal audit		
and Reports	poor		action if			reporting to the Board		
that are	management and		negligence and					\
accurate and	or internal		loss of confidence			Financial regulations		
compliant with	controls		in the Board			reviewed annually.		,
recommended						,		
accounting								
practice.		Loss of income	As above	2	Y	As above plus good internal	Fraud Insurance	CEO
practice.		through error or	7.15 0.50 0.0	(2x1)		controls and segregation of	rrada modranec	020
		fraud		(2/11)		duties.		
		IIdda				duties.		
						Whistleblowing policy		/
						willsticblowing policy		
4	A cyber-attack is	The Board suffer	The Board suffers	6	Υ	All staff have received cyber	Cybercrime insurance	CEO
	launched against	a major data	reputational	(2x3)	•	security training in	from Jan 2024	620
Operate a	the Board	breach and/or is	damage	(2,3)		security training in	will cover the Board	^
secure ICT	the board	held to ransom	uamage				(for up to £2M)	1
network that		neid to ransom	The Board may				in the event of a	
			•					
protects the			have to pay a				cyber attack	
Board from			ransom					
data loss					.,			
	An unwanted	The network	The Board suffers	4	Υ	Quiss, our network managers	Quiss would ensure our	CEO
	virus enters the	becomes	reputational	(2x2)		operate a robust email virus	network was rebuilt as a	
	Board's network	compromised and	•			control regime	"clean" network	
		staff are unable	unable to operate					└ ─/
		to work	efficiently/at all			Staff are alerted as new		,
						viruses are launched		

RISK AREA	CAUSE	RISKS	EFFECT	*RISK SCORE		PREVENTATIVE CONTROLS	RECOVERY CONTROLS	PERSON RESPONSIBLE/ DIRECTION OF TRAVEL
The Boards assets are critical to the	Plant and machinery is stolen	Plant is not available or functioning when it is required	Reduction in planned maintenance	6 (2x3)	Y	We have installed a new security fence around the depot in 2018 to prevent access and the gate access is on an intercom system. New gates at Hobhole PS in 2022 Remote plant is always Boarded up and left in farmer's yards where possible.	We have fully comprehensive plant insurance covering all plant and machinery which is reviewed every year	CEO
operation of the Board	or is damaged through fire/vandalism	Plant is not available or functioning when it is required	As above	6	Y	As above. We also have fire extinguishers fitted into plant so that the driver is able to stop fire spreading	As above.	CEO

RISK AREA	CAUSE	RISKS	EFFECT	*RISK SCORE	MANAGEABLE Y/N?	PREVENTATIVE CONTROLS	RECOVERY CONTROLS	PERSON RESPONSIBLE/ DIRECTION OF TRAVEL
1	The Board's property is damaged or is unable to function due to power outage/lack of fuel supplies etc	Pumping Stations are not able to function when required	The Board is unable to reduce water levels and prevent flooding or assist in a combined Countywide Emergency Response		Υ	Our two key pumping stations have two employees living on site and the main office is alarmed and linked to a call out number. All buildings have fire alarms installed and regular Fire Risk Assessments take place	Buildings are also insured to market valuations which are professionally assessed every 5 years. Consider more frequently if inflation The head office has an up to date Business Recovery Plan	Board CEO/CEng

Last updated November 2021