











RISK AREA	CAUSE	RISKS	EFFECT	*RISK SCORE	MANAGEABLE Y/N?	PREVENTATIVE CONTROLS	RECOVERY CONTROLS	PERSON RESPONSIBLE/ DIRECTION OF TRAVEL
1 Provide and maintain standards of flood protection	Change in Government funding policy	Reduction in finance or grants or other income.	Insufficient staffing or other resources to deliver the service needs or capital schemes.	6 (2x3)	N	-	Increase rateable income Look for more efficiencies	CEO 
	Local Council Rate capping continues, or the cap level reduces	Inability to set a rate to ensure services are delivered	Insufficient staffing or other resources to deliver the service needs.	4 (2x2)	Y	Reviewed and approved by Board each February. Financial report to each Board meeting.	-	CEO/CEng 
		Inability to set a rate to ensure services are delivered	Unable to fully respond to a major incident due to lack of resources	4 (2x2)	Y	Ensure IDBs role is in Lincs emergency plan. Other IDBs can respond Reciprocal arrangements between IDBs to provide support	Resources are backed up by volunteers and equipment Review own plan annually Adequate generator provision is available through Western Power Networks arrangement.	CEng 

*Likelihood (1-3) x Impact (1-3) = Risk Score

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3 Maintain financial records and Reports that are accurate and compliant with recommended accounting practice.	An accounting error or governance issue transpires due to poor management and or internal controls	Adverse audit report in the public domain	Reputational damage possible legal action if negligence and loss of confidence in the Board	4 (2x2)	Y	Employ a qualified accountant (s.151 officer) External and internal audit reporting to the Board Financial regulations reviewed annually.	Directors' and Officers' insurance in place	Board 
		Loss of income through error or fraud	As above	2 (2x1)	Y	As above plus good internal controls and segregation of duties. Whistleblowing policy	Fraud Insurance	CEO 
4 Operate a secure ICT network that protects the Board from data loss	A cyber-attack is launched against the Board	The Board suffer a major data breach and/or is held to ransom	The Board suffers reputational damage The Board may have to pay a ransom	6 (2x3)	Y	All staff have received cyber security training in	We are exploring cyber security insurance in 2022 that will cover the Board in the event of a cyber attack (Feb 2023 Board Mtg)	CEO 
	An unwanted virus enters the Board's network	The network becomes compromised and staff are unable to work	The Board suffers reputational damage and are unable to operate efficiently/at all	4 (2x2)	Y	Quiss, our network managers operate a robust email virus control regime Staff are alerted as new viruses are launched	Quiss would ensure our network was rebuilt as a "clean" network	CEO 

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5 The Boards assets are critical to the operation of the Board	Plant and machinery is stolen	Plant is not available or functioning when it is required	Reduction in planned maintenance	6 (2x3)	Y	We have installed a new security fence around the depot in 2018 to prevent access and the gate access is on an intercom system. New gates at Hobhole PS in 2022 Remote plant is always Boarded up and left in farmer's yards where possible.	We have fully comprehensive plant insurance covering all plant and machinery which is reviewed every year	CEO 
	or is damaged through fire/vandalism	Plant is not available or functioning when it is required	As above	6	Y	As above. We also have fire extinguishers fitted into plant so that the driver is able to stop fire spreading	As above.	CEO 

November 2022

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	The Board's property is damaged or is unable to function due to power outage/ lack of fuel supplies etc	Pumping Stations are not able to function when required	The Board is unable to reduce water levels and prevent flooding or assist in a combined Countywide Emergency Response	4	Y	<p>Our two key pumping stations have two employees living on site and the main office is alarmed and linked to a call out number.</p> <p>All buildings have fire alarms installed and regular Fire Risk Assessments take place</p>	<p>Buildings are also insured to market valuations which are professionally assessed every 5 years. Consider more frequently re inflation</p> <p>The head office has an up to date Business Recovery Plan</p>	<p>Board CEO/CEng</p> 

Last updated November 2021